

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

IN RE Patent Application of

Richard, Joel et. al.

Application No.: 10/714,347

Filed: November 14, 2003

**For: Plant Protein-Based Microcapsules**

Group Art Unit: 1614

Examiner:

Confirmation No.: 4071

## **FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, the accompanying information is being submitted in accordance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed, along with a copy of the International Search Report citing these documents in PCT/FR02/01652.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents and U.S. patent application publications are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

To assist the Examiner, the document is / documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

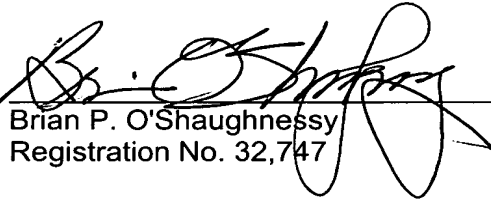
Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

Date

April 15, 2004

By:

  
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| Substitute for form 1449A/PTO & 1449B/PTO   |  | <b>Complete if Known</b> |                       |
| <b>FIRST<br/>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>(use as many sheets as necessary) |  | Application Number       | 10/714,347            |
|   |  | Filing Date              | November 14, 2003     |
|   |  | First Named Inventor     | Richard, Joel et. al. |
|   |  | Examiner Name            |                       |
| Sheet 1 of 1  |  | Attorney Docket Number   | 017751-042            |

| U.S. PATENT DOCUMENTS |                 |                      |   |                                     |
|-----------------------|-----------------|----------------------|---|-------------------------------------|
| Examiner Initials     | Document Number | Kind Code (if known) | Name of Patentee or Applicant of Cited Document | Issue/Publication Date (MM-DD-YYYY) |
|                       | 6,197,757       | B1                   | Perrier et al.                                  | 03-06-2001                          |
|                       |                 |                      |   |                                     |
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| FOREIGN PATENT DOCUMENTS |                 |                      |         |                                  |             |    |
|--------------------------|-----------------|----------------------|---------|----------------------------------|-------------|----|
| Examiner Initials        | Document Number | Kind Code (if known) | Country | Date of Publication (MM-DD-YYYY) | Translation |    |
|                          |                 |                      |         |                                  | Yes         | No |
|                          | WO99/38945      |                      | PCT     | 08-05-1999                       | Abstr.      |    |
|                          |                 |                      |         |                                  |             |    |
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| NON-PATENT LITERATURE DOCUMENTS |   |
|---------------------------------|---|
| Examiner Initials               | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |
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| Examiner Signature |  | Date Considered |  |
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.